(FILE 'HOME' ENTERED AT 12:38:11 ON 10 JAN 2009) FILE 'BIOSIS, MEDLINE, CAPLUS, WPIDS, USPATFULL' ENTERED AT 12:38:53 ON 10 JAN 2009 33167 S SOLID PHASE AND SURFACE AND OLIGONUCLEOTIDE L1L2 1055 S L1 AND 3(2A)OVERHANG L3 1 S L2 AND SURFACE (3A)OLIGONUCLEOTIDE (4A) COVALENT L41172 S L1 AND 3 (5A) OVERHANG L5 7 S L4 AND SURFACE (4A) OLIGONUCLEOTIDE (4A) COVALENT L6 7 S L5 NOT L3\ L7 6 S L5 NOT L3 => s 17 and liga? 6 L7 AND LIGA? L8 => s 18 and ligase 6 L8 AND LIGASE => d 19 bib abs 1-6 L9 ANSWER 1 OF 6 USPATFULL on STN 2006:80401 USPATFULL ΑN Method of producing a DNA library using positional amplification ТΤ Langmore, John P., Ann Arbor, MI, UNITED STATES TNMakarov, Vladimir L., Ann Arbor, MI, UNITED STATES A1 20060330 PΙ US 20060068394 A1 20040311 (10) ΑI US 2004-798025 Division of Ser. No. US 2001-860738, filed on 18 May 2001, GRANTED, Pat. RLI No. US 6828098 US 2000-206095P PRAI 20000520 (60) DT Utility FS APPLICATION FULBRIGHT & JAWORSKI, LLP, 1301 MCKINNEY, SUITE 5100, HOUSTON, TX, LREP 77010-3095, US CLMN Number of Claims: 13 ECL Exemplary Claim: 1-189 114 Drawing Page(s) LN.CNT 9395 CAS INDEXING IS AVAILABLE FOR THIS PATENT. AB The disclosed invention relates to general and specific methods to use the Primer Extension/Nick Translation (PENT) reaction to create an amplifiable DNA strand, called a PENTAmer. A PENTAmers can be made for the purpose of amplifying a controlled length of DNA located at a controlled position within a DNA molecule, a process referred to as Positional Amplification by Nick Translation (PANT). In contrast to PCR, which amplifies DNA between two specific sequences, PANT can amplify DNA between two specific positions. PENTAmers can be created to amplify-very large regions of DNA (up to 500,000 bp) as random mixtures (unordered positional libraries), or as molecules sorted according to position (ordered positional libraries). PANT is fast and economical, because PENTAmer preparation can be multiplexed. A single PENTAmer preparation can include very complex mixtures of DNA such as hundreds of large-insert clones, complete genomes, or cDNA libraries. Subsequent PCR amplification of the preparation using a single specific primer can

positionally amplify contiguous regions along a specific clone, along a

specific genomic region, or along a specific expressed sequence.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

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ANSWER 2 OF 6 USPATFULL on STN
L9
ΑN
       2005:220911 USPATFULL
       Nucleic acid analysis techniques
TΤ
ΙN
       Lockhart, David J., Mountain View, CA, UNITED STATES
       Chee, Mark, Palo Alto, CA, UNITED STATES
       Gunderson, Kevin, Santa Clara, CA, UNITED STATES
       Chaoqiang, Lai, Sunnyvale, CA, UNITED STATES
       Wodicka, Lisa, Santa Clara, CA, UNITED STATES
       Cronin, Maureen T., Los Altos, CA, UNITED STATES
       Lee, Danny, RTP, NC, UNITED STATES
       Tran, Huu M., Milpitas, CA, UNITED STATES
       Matsuzaki, Hajime, Palo Alto, CA, UNITED STATES
       McGall, Glenn H., Mountain View, CA, UNITED STATES
       Barone, Anthony D., San Jose, CA, UNITED STATES
       Affymetrix, Inc., Santa Clara, CA, UNITED STATES (U.S. corporation)
PA
                          A1 20050901
PΙ
       US 20050191646
ΑI
       US 2004-961341
                           A1 20041007 (10)
       Continuation of Ser. No. US 2001-880727, filed on 13 Jun 2001, GRANTED,
RLI
       Pat. No. US 6858711 Continuation of Ser. No. US 1997-882649, filed on 25
       Jun 1997, GRANTED, Pat. No. US 6344316 Continuation of Ser. No. WO
       1997-US1603, filed on 22 Jan 1997, PENDING
PRAI
       US 1996-10471P
                           19960123 (60)
       US 1997-35170P
                           19970109 (60)
DT
       Utility
       APPLICATION
LREP
       TOWNSEND AND TOWNSEND AND CREW LLP, TWO EMBARCADERO CENTER, 8TH FLOOR,
       SAN FRANCISCO, CA, 94111-3834, US
      Number of Claims: 49
CLMN
ECL
      Exemplary Claim: 1
       47 Drawing Page(s)
DRWN
LN.CNT 6358
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
       The present invention provides a simplified method for identifying
AB
       differences in nucleic acid abundances (e.g., expression levels) between
       two or more samples. The methods involve providing an array containing a
       large number (e.g. greater than 1,000) of arbitrarily selected different
       oligonucleotide probes where the sequence and location of each
       different probe is known. Nucleic acid samples (e.g. mRNA) from two or
       more samples are hybridized to the probe arrays and the pattern of
       hybridization is detected. Differences in the hybridization patterns
       between the samples indicates differences in expression of various genes
       between those samples. This invention also provides a method of
       end-labeling a nucleic acid. In one embodiment, the method involves
       providing a nucleic acid, providing a labeled oligonucleotide
       and then enzymatically ligating the oligonucleotide
       to the nucleic acid. Thus, for example, where the nucleic acid is an
       RNA, a labeled oligoribonucleotide can be ligated using an RNA
       ligase. In another embodiment, the end labeling can be
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accomplished by providing a nucleic acid, providing labeled nucleoside triphosphates, and attaching the nucleoside triphosphates to the nucleic

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

acid using a terminal transferase.

L9 ANSWER 3 OF 6 USPATFULL on STN

AN 2005:183385 USPATFULL

TI Nucleic acid analysis techniques

IN Lockhart, David J., Mountain View, CA, UNITED STATES Chee, Mark, Palo Alto, CA, UNITED STATES

Gunderson, Kevin, Santa Clara, CA, UNITED STATES Chaoqiang, Lai, Sunnyvale, CA, UNITED STATES Wodicka, Lisa, Santa Clara, CA, UNITED STATES Cronin, Maureen T., Los Altos, CA, UNITED STATES Lee, Danny H., RTP, NC, UNITED STATES Tran, Huu M., Milpitas, CA, UNITED STATES Matsuzaki, Hajime, Palo Alto, CA, UNITED STATES McGall, Glenn H., Palo Alto, CA, UNITED STATES Barone, Anthony D., San Jose, CA, UNITED STATES

PA Affymetrix, INC., Santa Clara, CA, UNITED STATES (U.S. corporation)

PI US 20050158772 A1 20050721

AI US 2004-21367 A1 20041223 (11)

RLI Continuation of Ser. No. US 2001-880727, filed on 13 Jun 2001, GRANTED, Pat. No. US 6858711 Continuation-in-part of Ser. No. US 1997-882649, filed on 25 Jun 1997, GRANTED, Pat. No. US 6344316 Continuation of Ser. No. WO 1997-US1603, filed on 22 Jan 1997, PENDING

PRAI US 1996-10471P 19960123 (60) US 1997-35170P 19970109 (60)

DT Utility

FS APPLICATION

LREP AFFYMETRIX, INC, ATTN: CHIEF IP COUNSEL, LEGAL DEPT., 3380 CENTRAL EXPRESSWAY, SANTA CLARA, CA, 95051, US

CLMN Number of Claims: 37 ECL Exemplary Claim: 1 DRWN 47 Drawing Page(s)

LN.CNT 6328

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

AΒ The present invention provides a simplified method for identifying differences in nucleic acid abundances (e.g., expression levels) between two or more samples. The methods involve providing an array containing a large number (e.g. greater than 1,000) of arbitrarily selected different oligonucleotide probes where the sequence and location of each different probe is known. Nucleic acid samples (e.g. mRNA) from two or more samples are hybridized to the probe arrays and the pattern of hybridization is detected. Differences in the hybridization patterns between the samples indicates differences in expression of various genes between those samples. This invention also provides a method of end-labeling a nucleic acid. In one embodiment, the method involves providing a nucleic acid, providing a labeled oligonucleotide and then enzymatically ligating the oligonucleotide to the nucleic acid. Thus, for example, where the nucleic acid is an RNA, a labeled oligoribonucleotide can be ligated using an RNA ligase. In another embodiment, the end labeling can be accomplished by providing a nucleic acid, providing labeled nucleoside triphosphates, and attaching the nucleoside triphosphates to the nucleic acid using a terminal transferase.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L9 ANSWER 4 OF 6 USPATFULL on STN

AN 2003:93005 USPATFULL

TI Nucleic acid analysis techniques

IN Lockhart, David J., Santa Clara, CA, UNITED STATES Chee, Mark, Palo Alto, CA, UNITED STATES Gunderson, Kevin, Palo Alto, CA, UNITED STATES Lai, Chaoqiang, Santa Clara, CA, UNITED STATES Wodicka, Lisa, Santa Clara, CA, UNITED STATES Cronin, Maureen T., Los Altos, CA, UNITED STATES Lee, Danny H., San Jose, CA, UNITED STATES Tran, Huu M., San Jose, CA, UNITED STATES Matsuzaki, Hajime, Palo Alto, CA, UNITED STATES

```
McGall, Glenn H., Mt. View, CA, UNITED STATES
       Barone, Anthony D., San Jose, CA, UNITED STATES
                           A1 20030403
РΤ
       US 20030064364
       US 6858711
                           B2 20050222
       US 2002-880727
                           A1 20020411 (9)
AΙ
       Continuation of Ser. No. US 1997-882649, filed on 25 Jun 1997, GRANTED,
RLI
       Pat. No. US 6344316 Continuation of Ser. No. WO 1997-US1603, filed on 22
       Jan 1997, UNKNOWN
PRAI
       US 1996-10471P
                           19960123 (60)
       US 1997-35170P
                           19970109 (60)
DT
       Utility
FS
       APPLICATION
LREP
       TOWNSEND AND TOWNSEND AND CREW, LLP, TWO EMBARCADERO CENTER, EIGHTH
       FLOOR, SAN FRANCISCO, CA, 94111-3834
      Number of Claims: 49
CLMN
       Exemplary Claim: 1
ECL
       47 Drawing Page(s)
DRWN
LN.CNT 6539
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
       The present invention provides a simplified method for identifying
AB
       differences in nucleic acid abundances (e.g., expression levels) between
       two or more samples. The methods involve providing an array containing a
       large number (e.g. greater than 1,000) of arbitrarily selected different
       oligonucleotide probes where the sequence and location of each
       different probe is known. Nucleic acid samples (e.g. mRNA) from two or
       more samples are hybridized to the probe arrays and the pattern of
       hybridization is detected. Differences in the hybridization patterns
       between the samples indicates differences in expression of various genes
       between those samples. This invention also provides a method of
       end-labeling a nucleic acid. In one embodiment, the method involves
       providing a nucleic acid, providing a labeled oligonucleotide
       and then enzymatically ligating the oligonucleotide
       to the nucleic acid. Thus, for example, where the nucleic acid is an
       RNA, a labeled oligoribonucleotide can be ligated using an RNA
       ligase. In another embodiment, the end labeling can be
       accomplished by providing a nucleic acid, providing labeled nucleoside
       triphosphates, and attaching the nucleoside triphosphates to the nucleic
       acid using a terminal transferase.
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
L9
     ANSWER 5 OF 6 USPATFULL on STN
ΑN
       2003:58052 USPATFULL
ΤI
       Method of producing a DNA library using positional amplification
       Langmore, John P., Ann Arbor, MI, UNITED STATES
ΤN
       Makarov, Vladimir L., Ann Arbor, MI, UNITED STATES
PΙ
       US 20030040620
                          A1 20030227
       US 6828098
                           B2 20041207
       US 2001-860738
                           A1 20010518 (9)
AΙ
       US 2000-206095P
PRAI
                           20000520 (60)
DT
       Utility
FS
       APPLICATION
       FULBRIGHT & JAWORSKI, LLP, 1301 MCKINNEY, SUITE 5100, HOUSTON, TX,
LREP
       77010-3095
      Number of Claims: 272
CLMN
       Exemplary Claim: 1
ECL
DRWN
       114 Drawing Page(s)
LN.CNT 9894
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
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The disclosed invention relates to general and specific methods to use the Primer Extension/Nick Translation (PENT) reaction to create an

amplifiable DNA strand, called a PENTAmer. A PENTAmers can be made for the purpose of amplifying a controlled length of DNA located at a controlled position within a DNA molecule, a process referred to as Positional Amplification by Nick Translation (PANT). In contrast to PCR, which amplifies DNA between two specific sequences, PANT can amplify DNA between two specific positions. PENTAmers can be created to amplify very large regions of DNA (up to 500,000 bp) as random mixtures (unordered positional libraries), or as molecules sorted according to position (ordered positional libraries). PANT is fast and economical, because PENTAmer preparation can be multiplexed. A single PENTAmer preparation can include very complex mixtures of DNA such as hundreds of large-insert clones, complete genomes, or cDNA libraries. Subsequent PCR amplification of the preparation using a single specific primer can positionally amplify contiguous regions along a specific clone, along a specific genomic region, or along a specific expressed sequence.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

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L9
     ANSWER 6 OF 6 USPATFULL on STN
ΑN
       2002:24160 USPATFULL
ΤI
       Nucleic acid analysis techniques
ΙN
       Lockhart, David J., Santa Clara, CA, United States
       Chee, Mark, Palo Alto, CA, United States
       Gunderson, Kevin, Palo Alto, CA, United States
       Chaoqiang, Lai, Santa Clara, CA, United States
       Wodicka, Lisa, Santa Clara, CA, United States
       Cronin, Maureen T., Los Altos, CA, United States
       Lee, Danny, San Jose, CA, United States
       Tran, Huu M., San Jose, CA, United States
      Matsuzaki, Hajime, Palo Alto, CA, United States
PΑ
       Affymetrix, Inc., Santa Clara, CA, United States (U.S. corporation)
                           B1 20020205
PΙ
       US 6344316
      US 1997-882649
                               19970625 (8)
ΑI
       Continuation of Ser. No. WO 1997-US1603, filed on 22 Jan 1997
RLI
PRAI
      US 1997-35170P
                          19970109 (60)
       US 1996-10471P
                           19960123 (60)
DT
       Utility
FS
       GRANTED
EXNAM Primary Examiner: Houtteman, Scott W.
       Townsend and Townsend and Crew LLP
       Number of Claims: 28
CLMN
ECL
       Exemplary Claim: 1
       54 Drawing Figure(s); 47 Drawing Page(s)
LN.CNT 6540
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
       The present invention provides a simplified method for identifying
AΒ
       differences in nucleic acid abundances (e.g., expression levels) between
       two or more samples. The methods involve providing an array containing a
       large number (e.g. greater than 1,000) of arbitrarily selected different
       oligonucleotide probes where the sequence and location of each
       different probe is known. Nucleic acid samples (e.g. mRNA) from two or
       more samples are hybridized to the probe arrays and the pattern of
       hybridization is detected. Differences in the hybridization patterns
       between the samples indicates differences in expression of various genes
       between those samples. This invention also provides a method of
       end-labeling a nucleic acid. In one embodiment, the method involves
       providing a nucleic acid, providing a labeled oligonucleotide
       and then enzymatically ligating the oligonucleotide
       to the nucleic acid. Thus, for example, where the nucleic acid is an
       RNA, a labeled oligoribonucleotide can be ligated using an RNA
       ligase. In another embodiment, the end labeling can be
```

accomplished by providing a nucleic acid, providing labeled nucleoside triphosphates, and attaching the nucleoside triphosphates to the nucleic acid using a terminal transferase.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.